



# COMPLYCONNECT

conference & expo

## SPONSORSHIP PROSPECTUS

OCT. 21 - 24, 2024 • [COMPLYCONNECTEXPO.COM](https://COMPLYCONNECTEXPO.COM)



ON

# TABLE OF CONTENTS

---

Introduction and overview	03
Audience	04
Digital capabilities and marketing objectives	05
Sponsorship and exhibit opportunities	06



# INTRODUCTION AND OVERVIEW

---

The COMPLYConnect Conference & Expo will bring together regulatory and compliance professionals, industry leaders and technology experts from across the U.S. The second annual event will allow compliance professionals and industry leaders to connect, hear from experts and complete continuing education requirements.

Attendees will have the option to take part in educational tracks, where sessions and roundtable discussions will focus on:

- » Current regulatory and compliance trends, including recent Securities and Exchange Commission (SEC) and Financial Industry Regulatory Authority (FINRA) rules, crypto compliance, cybersecurity, AML and BD responsibilities and more.
- » Industry best practices and professional ethics.
- » Continuing education.
- » SEC and FINRA examination planning.

Held at the renowned Omni Barton Creek Resort and Spa in Austin Texas, the three-day event provides professionals the opportunity to connect and engage with peers and industry leaders and unparalleled access to the resources and support they need to mitigate risk and remain in compliance today and tomorrow.

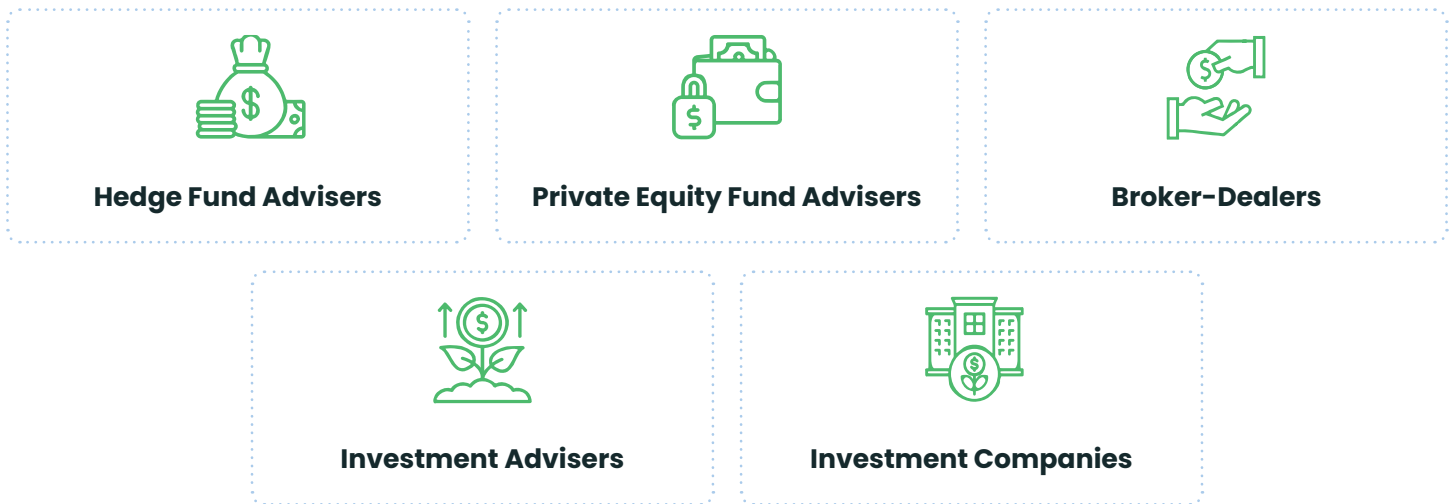


# AUDIENCE

## ATTENDEES BY JOB TITLE:



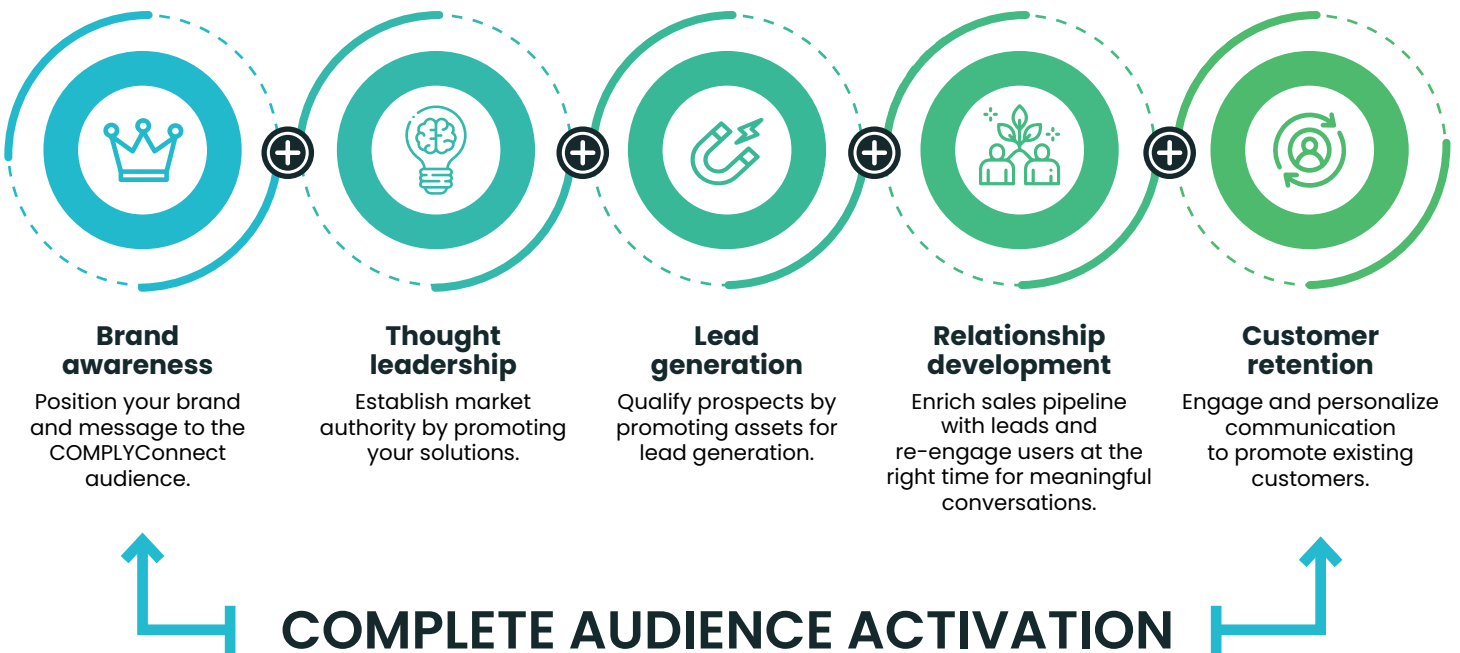
## TYPES OF FIRMS ATTENDING



# DIGITAL CAPABILITIES AND MARKETING OBJECTIVES

COMPLYConnect provides opportunities for compliance professionals and industry experts to connect throughout the conference. From thought leadership to brand awareness to lead generation, the best way to reach a large audience is through our services.

Not only do our digital offerings serve your marketing objectives, we provide engaging and cost-effective ways to develop **unique** and **highly qualified leads** that can help you **all year round**.



# SPONSORSHIP AND EXHIBIT OPPORTUNITIES

## LUNCH SPONSOR: **\$16,500** (*Two available*)

Our high-profile networking lunch partnership will give your team the ability to host our lunch hour while connecting and engaging with attendees.



### Benefits include:

- » **Exclusive sponsorship of a lunch.**
- » Prominent sponsor branding throughout the event, including napkins, signage, tent cards on tables and food stations – coordinated with venue by COMPLYConnect.
- » Collaborate with COMPLYConnect and the venue to select the menu for the lunch.
- » An opportunity to make a brief statement (*<five minutes*) to attendees during the lunch.
- » An opportunity to provide collateral and/or giveaways (*prepared by sponsor*) to be distributed as a seat drop at the lunch.
- » COMPLYConnect host acknowledgment and expressions of gratitude from the stage.
- » Physical and digital branding throughout the venue, as well as on select COMPLYConnect marketing materials before, during and after the event.
- » Three social media posts promoting your sponsorship (*pre-event, lunch and post-event*).
- » All benefits of the Presenting Exhibitor package.

# SPONSORSHIP AND EXHIBIT OPPORTUNITIES

## NETWORKING RECEPTION SPONSOR: **\$16,500** (One available)

The networking reception wraps up the activities each day and offers your team a chance to promote a fun activity attendees will remember. A great opportunity to relax, connect and engage with attendees in a less formal setting.



### Benefits include:

- » **Exclusive sponsorship of the networking happy hour – includes passed and stationary hors d'oeuvres and open bar.**
- » Prominent sponsor branding throughout the event, including napkins, signage, tent cards on tables and food stations – coordinated with venue by COMPLYConnect.
- » Collaborate with COMPLYConnect and the venue to create a signature drink to be served during the event.
- » An opportunity to arrange a fun activity for attendees in coordination with COMPLYConnect and venue, such as karaoke, volleyball, star-gazing, etc. You get to choose and get the credit for the fun – sponsor will be responsible for the fees associated with the activity.
- » An opportunity to provide collateral and/or giveaways (*prepared by sponsor*) to be distributed as a seat drop at the networking reception.
- » COMPLYConnect host acknowledgment and expressions of gratitude from the stage.
- » Physical and digital branding throughout the venue, as well as on select COMPLYConnect marketing materials before, during and after the event.
- » Three social media posts promoting your sponsorship (*pre-event, lunch and post-event*).
- » All benefits of the Exhibitor Only package.

# SPONSORSHIP AND EXHIBIT OPPORTUNITIES



## NETWORKING BREAK SPONSOR: **\$11,000** (Four available)

Attendees will love you for providing juices, smoothies, coffee, and a variety of other refreshments for an extended break between sessions.

### Benefits include:

- » **Prominent sponsor branding throughout the event, including napkins, signage, tent cards on tables and food stations – coordinated with venue by COMPLYConnect.**
- » Collaboration with COMPLYConnect staff on snack break food and beverage choices.
- » An opportunity to provide collateral and/or giveaways (prepared by sponsor) during the breaks.
- » COMPLYConnect host acknowledgment and expressions of gratitude from the stage.
- » Physical and digital branding throughout the venue, as well as on select COMPLYConnect marketing materials before, during and after the event.
- » Three social media posts promoting your sponsorship (*pre-event, lunch and post-event*).
- » All benefits of the Exhibitor Only package.



## WIFI SPONSOR: **\$9,900** (One available)

Attendees will use our wi-fi service for online access during the event and will be greeted by your branding. This sponsorship package is a great way to keep your brand top of mind for all attendees.

### Benefits include:

- » **Prominent branding on printed wi-fi instructions onsite throughout the event.**
- » An opportunity to create a custom wi-fi password and post-login landing page (based on venue capability).
- » COMPLYConnect host acknowledgment and expressions of gratitude from the stage.
- » Physical and digital branding throughout the venue, as well as on select COMPLYConnect marketing materials before, during and after the event.
- » Three social media posts promoting your sponsorship (*pre-event, lunch and post-event*).
- » All benefits of the Exhibitor Only package.



# SPONSORSHIP AND EXHIBIT OPPORTUNITIES



## PRESENTING SPONSOR: **\$11,000** (Four available)

Make the most of COMPLYConnect by actively presenting your company and its products and services to the attendees and meet privately to make targeted proposals or close deals with customers and prospects.

### Benefits include:

- » 30-minute educational presentation or demo about your product, solution or topic of your choice (subject to approval by COMPLYConnect).
- » Priority logo placement in select COMPLYConnect print, electronic and on-site materials.
- » All benefits of the Exhibitor Only package



## EXHIBITOR ONLY: **\$5,500**

Present your product and services to attendees in the exhibit space.

### Benefits include:

- » Multiple networking opportunities with attendees during the event.
- » Opportunity to distribute marketing collateral and present promotional giveaways.
- » One-time use of pre- and post-event attendee mailing list (excludes emails).
- » Push notification after one session of your choice to draw attention to your booth.
- » 100-word company description on the Sponsors page of the COMPLYConnect website.

### Exhibit space includes:



One 6' x 6' table-top space



One 6' table with table cover



Two event registrations for staff



One trash can



Two chairs



25% off additional exhibitor passes



Access to electrical power



Wifi Access

# SPONSORSHIP AND EXHIBIT OPPORTUNITIES

## OTHER OPTIONS TO CONSIDER:



**KEYNOTE SPONSOR**  
**\$27,500**

*commensurate with  
agreed upon keynote fee*

### Contact us

If you have any questions, or wish to reach out regarding sponsorships and other opportunities:

**Sponsor:** [sponsors@COMPLYConnectexpo.com](mailto:sponsors@COMPLYConnectexpo.com)

**Media:** [media@COMPLYConnectexpo.com](mailto:media@COMPLYConnectexpo.com)

