



# ComplyConnect™

conference & expo

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## SPONSORSHIP PROSPECTUS



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## INTRODUCTION AND OVERVIEW

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The Comply Connect Conference & Expo will bring together regulatory and compliance professionals, industry leaders and technology experts from across the U.S. The first annual event will allow compliance professionals and industry leaders to connect, hear from experts and complete continuing education requirements.

Attendees will have the option to take part in education, technology or the invitation-only customer tracks, where session and roundtable discussions will focus on:

- » Current regulatory and compliance trends, including recent Securities and Exchange Commission and Financial Industry Regulatory Authority (FINRA) rules, crypto compliance, cybersecurity, AML and BD responsibilities and more.
- » Industry best practices and professional ethics.
- » Continuing education
- » Recent technological advances.
- » SEC and FINRA examination planning.

Held at the renowned Omni Barton Creek Resort & Spa in Austin, Texas, the three-day event provides professionals the opportunity to connect and engage with peers and industry leaders and unparalleled access to the resources and support they need to mitigate risk and remain in compliance today and tomorrow.



# AUDIENCE

## WHO WILL ATTEND?

The ComplyConnect Conference & Expo will draw compliance, risk and governance professionals from financial services firms of all sizes from across the country, including:

- » Broker-dealers
- » Hedge funds
- » Institutional investors
- » Insurance companies
- » Investment advisors
- » Investment banks
- » Private markets
- » Professional services
- » Public companies

## WHY THEY WILL ATTEND

The ComplyConnect Conference & Expo will draw compliance, risk and governance professionals from financial services firms of all sizes from across the country, including:

### High-quality content

Discussions led by industry regulators and compliance experts regarding the challenges and risks being faced in today's regulatory landscape.



### Continuing education credit

Investment Adviser Certified Compliance Professional (IACCP®) accredited educational sessions designed specifically for compliance professionals, executive leaders and more.



### Active compliance analysis

Exploration with experts to ensure you are prepared for tomorrow's challenges.



### Networking

Roundtable topics and workshops that let you dig into the nitty-gritty with a select group of your peers.



### Exhibit hall

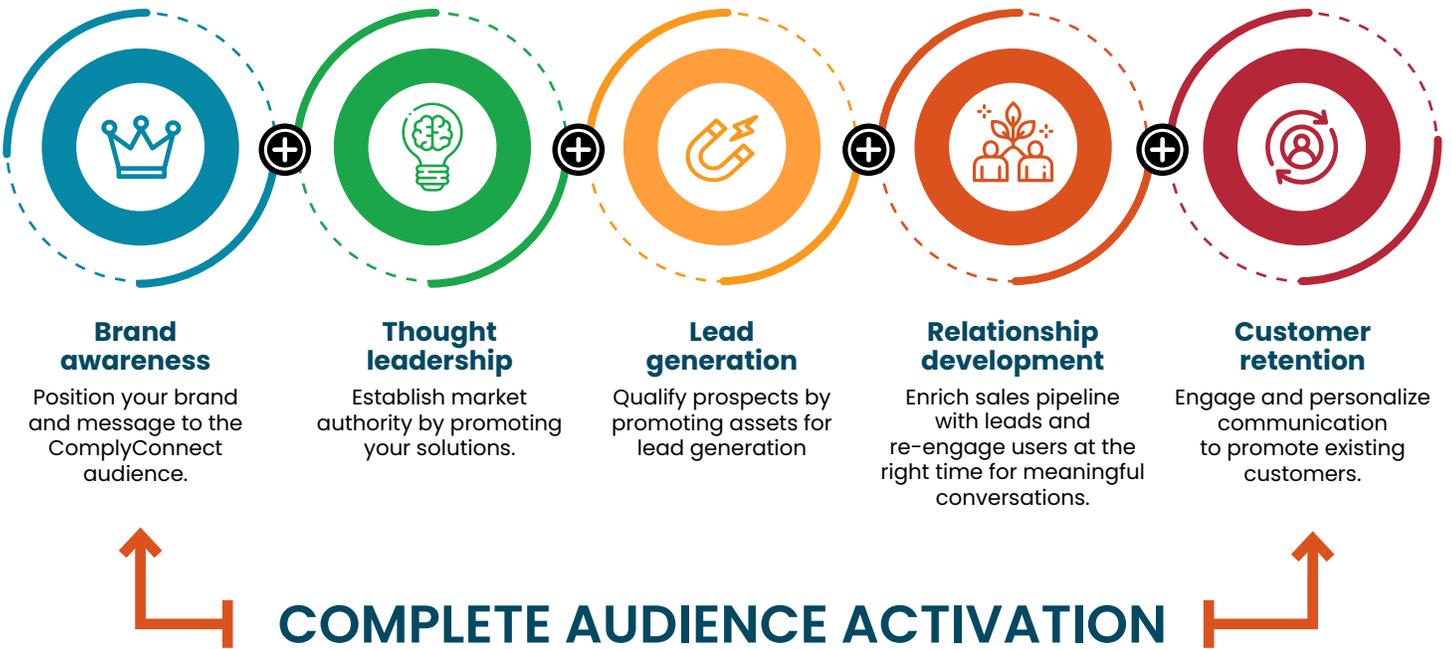
Exhibitors from top compliance resources, providing you with insight into how their solution can help your program continue to mitigate risk.



# DIGITAL CAPABILITIES AND MARKETING OBJECTIVES

ComplyConnect provides opportunities for compliance professionals and industry experts to connect throughout the conference. From thought leadership to brand awareness to lead generation, the best way to reach a large audience is through our services.

Not only do our digital offerings serve your marketing objectives, we provide engaging and cost-effective ways to develop **unique** and **highly qualified leads** that can help you **all year round**.



# SPONSORSHIP AND EXHIBIT OPPORTUNITIES



## LUNCH SPONSOR: **\$25,000** (Two available)

Our high-profile networking lunch partnership will give your team the ability to host our lunch hour while connecting and engaging with attendees.



### Benefits include:

- » **Exclusive sponsorship of a lunch.**
- » Prominent sponsor branding throughout the event, including napkins, signage, tent cards on tables and food stations – coordinated with venue by ComplyConnect.
- » Collaborate with ComplyConnect and the venue to select the menu for the lunch.
- » An opportunity to make a brief statement (<five minutes) to attendees during the lunch.
- » An opportunity to provide collateral and/or giveaways (*prepared by sponsor*) to be distributed as a seat drop at the lunch.
- » ComplyConnect host acknowledgment and expressions of gratitude from the stage.
- » Physical and digital branding throughout the venue, as well as on select ComplyConnect marketing materials before, during and after the event.
- » Three social media posts promoting your sponsorship (*pre-event, lunch and post-event*).
- » All benefits of the Presenting Exhibitor package.



# SPONSORSHIP AND EXHIBIT OPPORTUNITIES

## NETWORKING RECEPTION SPONSOR: **\$20,000** (Two available)

The networking reception wraps up the activities each day and offers your team a chance to promote a fun activity attendees will remember. A great opportunity to relax, connect and engage with attendees in a less formal setting.



### Benefits include:

- » **Exclusive sponsorship of the networking happy hour – includes passed and stationary hors d'ouevres and open bar.**
- » Prominent sponsor branding throughout the event, including napkins, signage, tent cards on tables and food stations – coordinated with venue by ComplyConnect.
- » Collaborate with ComplyConnect and the venue to create a signature drink to be served during the event.
- » An opportunity to arrange a fun activity for attendees in coordination with ComplyConnect and venue, such as karaoke, volleyball, star-gazing, etc. You get to choose and get the credit for the fun – sponsor will be responsible for the fees associated with the activity.
- » An opportunity to provide collateral and/or giveaways (*prepared by partner*) to be distributed as a seat drop at the networking reception.
- » ComplyConnect host acknowledgment and expressions of gratitude from the stage.
- » Physical and digital branding throughout the venue, as well as on select ComplyConnect marketing materials before, during and after the event.
- » Three social media posts promoting your sponsorship (*pre-event, lunch and post-event*).
- » All benefits of the Presenting Exhibitor package.



# SPONSORSHIP AND EXHIBIT OPPORTUNITIES



## NETWORKING BREAK SPONSOR: **\$15,000+** (Four available)

Attendees will love you for providing juices, smoothies, coffee, and a variety of other refreshments for an extended break between sessions.

### Benefits include:

- » **Prominent sponsor branding throughout the event, including napkins, signage, tent cards on tables and food stations – coordinated with venue by ComplyConnect.**
- » Collaboration with ComplyConnect staff on snack break food and beverage choices.
- » An opportunity to provide collateral and/or giveaways (prepared by partner) during the breaks.
- » ComplyConnect host acknowledgment and expressions of gratitude from the stage.
- » Physical and digital branding throughout the venue, as well as on select ComplyConnect marketing materials before, during and after the event.
- » Three social media posts promoting your sponsorship (*pre-event, lunch and post-event*).
- » All benefits of the Presenting Exhibitor package.



## WIFI SPONSOR: **\$15,000** (One available)

Attendees will use our wi-fi service for online access during the event and will be greeted by your branding. This sponsorship package is a great way to keep your brand top of mind for all attendees.

### Benefits include:

- » **Prominent branding on printed wi-fi instructions onsite throughout the event.**
- » An opportunity to create a custom wi-fi password and post-login landing page (*based on venue capability*).
- » ComplyConnect host acknowledgment and expressions of gratitude from the stage.
- » Physical and digital branding throughout the venue, as well as on select ComplyConnect marketing materials before, during and after the event.
- » Three social media posts promoting your sponsorship (*pre-event, lunch and post-event*).
- » All benefits of the Exhibitor-Only package.



# SPONSORSHIP AND EXHIBIT OPPORTUNITIES



## PRESENTING EXHIBITOR: **\$10,000** (Eight available)

Make the most of ComplyConnect by actively presenting your company and its products and services to the attendees and meet privately to make targeted proposals or close deals with customers and prospects.

## EXHIBITOR ONLY: **\$5,000**

Present your product and services to attendees in the exhibit space.

### Benefits include:

- » Opportunity to deliver a 50-minute educational presentation about your product, solution or topic of your choice (subject to approval by ComplyConnect) as part of the Compliance Technology track.
- » Access to a 20-chair board room for private customer/prospect meetings for two-30 minute time-slots.
- » Priority logo placement in select ComplyConnect print, electronic and on-site materials.
- » All benefits of the Exhibit-only package upgraded to a 10'x10' exhibit space.

### Benefits include:

- » Multiple networking opportunities with attendees during the event.
- » Opportunity to distribute marketing collateral and present promotional giveaways.
- » One-time use of pre- and post-event attendee mailing list (excludes emails).
- » Push notification after one session of your choice to draw attention to your booth.
- » 100-word company description on the Sponsors page of the ComplyConnect website.

### Exhibit space includes:



One 6' x 6' table-top space



One 6' table with table cover



Two event registrations for staff



One trash can



Two chairs



25% off additional exhibitor passes



Access to electrical power



# SPONSORSHIP AND EXHIBIT OPPORTUNITIES

## OTHER OPTIONS TO CONSIDER:



**LANYARD SPONSOR**  
**\$2,500**



**MASK SPONSOR**  
**\$2,500**



**SANITIZER SPONSOR**  
**\$2,500**



**SELFIE STATION**  
**\$5,000**



**KEYNOTE SPONSOR**  
**\$25,000+**  
*commensurate with  
agreed upon keynote fee*

# SPONSORSHIP AGREEMENT

Company name

Company website

Address

Apt., Suite, etc.

City

State

Zip code

Name

Official title

Phone number

Email address

## Sponsor & exhibitor levels:

Keynote  
**\$25,000+**

Lunch  
**\$25,000**

Networking reception  
**\$20,000**

Networking break  
**\$15,000**

Wifi  
**\$15,000**

Presenting  
**\$10,000**

Exhibitor ONLY  
**\$5,000**

Selfie station  
**\$5,000**

Lanyard  
**\$2,500**

Mask  
**\$2,500**

Sanitizer  
**\$2,500**

## Contact us

If you have any questions, or wish to reach out regarding sponsorships and other opportunities:

**Sponsor:** [sponsors@complyconnectexpo.com](mailto:sponsors@complyconnectexpo.com)

**Media:** [media@complyconnectexpo.com](mailto:media@complyconnectexpo.com)

**(1) 212-327-1533**

